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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

SEC  
Mail Processing  
Section

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Washington DC  
404

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange, Inc.
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
3. Class of New Derivative Securities Product:  
Ownership of the Trust
4. Name of Underlying Instrument:  
AlphaMark Actively Managed Small Cap ETF
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
6. Ticker Symbol(s) of New Derivative Securities Product:  
SMCP
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
8. Settlement Methodology of New Derivative Securities Product:  
See Prospectus
9. Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus



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Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Michael J. Cardin

Title: Director

Member Regulation Department

Telephone Number:

312-663-2204

Manual Signature of Official Responsible for Form:

*Michael J. Cardin*

Date: April 22, 2015

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	APR 23 2015



CHICAGO  
STOCK  
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April 22, 2015

By UPS

Ms. Gayle S. Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street NE – Stop 7010  
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

SMCP	AlphaMark Actively Managed Small Cap ETF
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If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin  
Director  
Member Regulation Department

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	APR 23 2015